submitted approvable applications in accordance with § 402.32 of this part.

(Approved by the Office of Management and Budget under control number 0970–0079)

[53 FR 7858, Mar. 10, 1988, as amended at 54 FR 23984, June 5, 1989; 55 FR 26207, June 27, 1990; 56 FR 21248, May 7, 1991; 57 FR 19386, May 6, 1992; 58 FR 31913, June 7, 1993]

§ 402.44 Basis for approval.

- (a) The Department will review each State's application to ensure that it contains all of the required assurances and information and otherwise is consistent with the Act and this part.
- (b) The Department will assess the reasonableness of each State's estimates of SLIAG-related costs, as required by §402.41(c) (1) and (2), based on the following:
- (1) Are the activities for which estimates are included in the application allowable under the Act and this part?
- (2) Are the rates of participation by eligible legalized aliens in the activities for which estimates of SLIAG-related costs are included in the application and other assumptions underlying the cost estimates based on reliable empirical data?
- (3) To what extent are the estimates based on actual costs incurred? Are actual costs based on methodologies described in this part or other methodologies likely to result in valid measures of SLIAG-related costs?
- (4) Do current estimates appear to be consistent with past estimates, known actual costs pursuant to §402.41(c)(2), and current INS eligible legalized alien population data?
- (5) Are revised estimates a result (all or in part) of changes in program activities?
- (c) The Department will notify the State that (1) its application has been approved or (2) its application has been disapproved, together with the reasons for disapproval.
- (d)(1) The Department will forward to the Office of Special Counsel information provided by a State pursuant to § 402.41(d).
- (2) The Office of the Special Counsel will review information forwarded to it by the Department pursuant to paragraph (d) (1) of this section to determine whether the activities described therein conflict with or unnecessarily

duplicate other employment discrimination education and outreach efforts. Certification to the Department by the Office of the Special Counsel that the State's submission meets this criterion is a prerequisite for approval by the Department.

 $[53~{\rm FR}~7858,~{\rm Mar}.~10,~1988,~{\rm as}~{\rm amended}~{\rm at}~56~{\rm FR}~21248,~{\rm May}~7,~1991]$

$\S 402.45$ Amendments to applications.

- (a)(1) If, during the course of a fiscal year, a State adds a program or activity for which it intends to claim reimbursement or make payment in that fiscal year, it must submit an amendment (containing appropriate information pursuant to §402.41(c)) to its approved application for that fiscal year prior to the due date for reports required by §402.51 of this part.
- (2) If a State plans to initiate employment discrimination education and outreach activities not described in its application pursuant to §402.41(d), it must submit an application amendment, which shall be reviewed in accordance with procedures described in §402.41(d) of this part. The Department's approval of such an amendment is a prerequisite for the initiation of such new activities, except as provided for in §402.11(n) (2).
- (b) Except as provided for in §402.11(k) and (n), a State may use SLIAG funds received for a fiscal year to reimburse or pay SLIAG related costs for programs or activities described in paragraph (a) of this section retroactive to the date the activity began, but no earlier than the first day of the fiscal year and only to the extent described in §402.10(d), except that funds received in FY 1992, if any, may be used for costs incurred on or after October 1, 1989. Costs incurred prior to October 1, 1987, are allowable only to the extent described in §402.12.

 $[53\ FR\ 7858,\ Mar.\ 10,\ 1988,\ as\ amended\ at\ 56\ FR\ 21249,\ May\ 7,\ 1991]$

Subpart F—Recordkeeping and Reporting

§ 402.50 Recordkeeping.

A State must provide for the maintenance of such records as are necessary: